



SMART IRB Joinder Agreement

This Joinder Agreement is made pursuant to the SMART IRB Master Common Reciprocal Institutional Review Board Authorization Agreement (“Agreement”) and establishes that the below-identified, undersigned institution is a party to and Participating Institution in the Agreement, with all of the associated rights and obligations thereunder.

By execution of this Joinder Agreement, the undersigned institution hereby: (i) represents and warrants that it meets all eligibility requirements for participation in the Agreement and (ii) joins in, accepts, and agrees to be bound by all of the terms and conditions of the Agreement and, without limiting the foregoing, agrees that it may accept and rely on the review of any of the IRBs of the Participating Institutions and that any Participating Institution may rely on its IRB (if applicable) for Research when so elected by such Participating Institutions under the Agreement; provided that, if it is an IRB Organization, the undersigned institution hereby instead: (i) represents and warrants that it meets all applicable eligibility requirements for participation in the Agreement and (ii) joins in, accepts, and agrees to be bound by all of the terms and conditions of the Agreement and, without limiting the foregoing, agrees that any Participating Institution may rely on its IRB for Research when so elected by such IRB Organization and Participating Institution under the Agreement.

This Joinder Agreement covers the below-identified Participating Institution only and does not include any other separate FWA-holding entities or separate legal corporations with which the Participating Institution or any of its IRBs is affiliated or has an IRB reliance relationship. Each affiliate or other entity that has its own separate FWA or is a separate legal corporation will need to execute its own Joinder Agreement in order to participate in the Agreement.

Any capitalized terms used in this Joinder Agreement and not defined herein shall have the same meanings given to them in the Agreement.

The Effective Date of this Joinder Agreement is the date on which the Participating Institution executes the Joinder Agreement as indicated by its Authorized Institutional Official/Signatory below; however, the Participating Institution’s actual participation in any activities under the Agreement may be subject to activation or other processes.

The Participating Institution must keep this Joinder Agreement and a copy of the Agreement on file and provide it to OHRP or other federal agencies upon request.

Participating Institution Legal Name: University of Southern California Participating Institution Legal Address Street: 3720 S Flower St. CUB 325 City, State, Zip Code: Los Angeles, CA, 90089
<input type="checkbox"/> Check here if Participating Institution is an IRB Organization (as defined in the Agreement). If an IRB Organization, provide the following: IRB Organization #: FWA00007099
<input type="checkbox"/> Check here if Participating Institution is NOT an IRB Organization and has an FWA. Provide the following information: FWA #: FWA00007099 <input type="radio"/> Check here if Participating Institution does not maintain an IRB. <input type="radio"/> Check here if Participating Institution maintains one or more IRBs.



Is your institution's FWA applicability restricted to federally-funded research (i.e., has your institution "unchecked the box" on its FWA)?

- Yes
- No, institution applies subparts A, B, C, and D regardless of source of support.
- No, institution applies subpart A only, regardless of source of support.

If your institution has an IRB or is an IRB Organization, the institution must have undergone or have initiated an assessment of the quality of its human research protection program (HRPP). Such assessment must have occurred or have been initiated within the past 5 years prior to the institution joining the Agreement. The assessment may be accomplished by accreditation through an external organization, through OHRP's Quality Assessment Program, or other equivalent approach. What method does your institution use to assure the quality of its HRPP? Check all that apply.

- HRPP has undergone accreditation through an external organization
 - Accrediting organization: AAHRPP
 - Date received: Oct 1, 2020
- HRPP is pursuing accreditation through an external organization
 - Accrediting organization:
 - Status:
- IRB(s) has undergone or has initiated OHRP's Quality Assessment Program
 - Date completed:
 - In progress; please describe status:
- Other approach, please specify:

Point(s) of Contact: Each institution must identify and establish at least one individual who will serve as a Point of Contact (POC).

Point(s) of Contact (POC): The POC is an individual responsible for day-to-day implementation of this Agreement at the institution (for example, making determinations on behalf of the institution regarding requests for Ceded Review or providing local context information to a Reviewing IRB). The POC will be listed on the SMART IRB website.

NAME: Maria Brown
TITLE: IRB Analyst II
INSTITUTION: University of Southern California
POINT OF CONTACT ADDRESS:
Street: 1640 Marengo Street, Suite 700
City, State, Zip: Los Angeles, CA, 90033
Phone: (323) 276-2230
Email address: mariatbr@usc.edu



Alternate Point of Contact (POC) (optional):

An institution may provide an Alternate POC who can be contacted if the POC is not available or to whom the POC may delegate certain functions. The Alternate POC will be listed on the SMART IRB website.

NAME: RoseAnn Fleming
TITLE: Interim Director, USC Institutional Review Board
INSTITUTION: University of Southern California
ALTERNATE POINT OF CONTACT ADDRESS
Street: 1640 Marengo Street, Suite 700
City, State, Zip: Los Angeles, CA, 90033
Phone: (323) 276-2233
Email address: rfleming@usc.edu

Notices: All written notices and other communications required under the Agreement may be made in hard copy or electronic form and shall be delivered to the following address(es). This may be the IO, a POC, legal counsel, or other HRPP personnel.

For notice:

NAME: Julie Slayton
TITLE: Director, Office for the Protection of Research Subjects
INSTITUTION: University of Southern California
ADDRESS FOR NOTIFICATION
Street: 3720 S. Flower St. CUB 325
City, State, Zip: Los Angeles, CA, 90089
Phone: (213) 821-1154
Email address: oprs@usc.edu

With a copy to:

NAME:
TITLE:
INSTITUTION: University of Southern California
ADDRESS FOR NOTIFICATION
Street:
City, State, Zip:
Phone:
Email address:



I understand and affirm that Participating Institutions are strongly encouraged to use and follow the SMART IRB Standard Operating Procedures ("SMART IRB SOPs") for research covered under the Agreement, and that if institutions do not use the SMART IRB SOPs, they must communicate to each other and the Research Personnel the policies and procedures that will apply to the ceded Research.

Agreed and signed by the authorized Institutional Official/Signatory of the Participating Institution

Maja Mataric

Name: Maja Mataric

Title: Interim Vice President of Research

Date: 12/15/2020

INSTITUTIONAL OFFICIAL/SIGNATORY ADDRESS

Street: 3720 S Flower St. CUB 325

City, State, Zip Code: Los Angeles, CA, 90089

Phone: (213) 740-6709

Email address: vice.president.research@usc.edu