This form will be used to supplement your IRB submission and will help the IRB best support you and guide you in your research with American Indian and Alaska Native (AI/AN) populations. Please first  [review the excellent American Indian and Alaskan Native Population guidance](https://oprs.usc.edu/irb/american-indian-alaskan-native-populations/). In addition, please review [the pragmatic researcher guidance created by Francine C. Gachupin, PhD, MPH](https://naair.arizona.edu/sites/default/files/gachupin_altered.pdf). Next, following the headings below, address all the categories in a typed document that will be submitted to the IRB. This document will be shared with the members of the IRB committee to help make a determination about this research project. This supplemental form is intended to be used when working directly with Tribal members on or off a reservation, and if data will be collected on a reservation, this form will be required in addition to Tribal permissions and/or IRB that represents the tribe as designated by Tribal officials.

**Accountability for own self**

Taking a moment, pause, slow-down, and recognize your position in this research project and the impact that you will have on others as a result of this project. This section should be addressed by the principal investigator. Please explain in 2-3 sentences for each question.

1. Researcher positionality: Please explain your positionality in this research project?
2. What is your purpose for pursuing this research project with this group?
3. Why do the data need to be collected for this research project?
4. What are the possible harms that could happen to future generations based on this research project?
5. What is your obligation with respects to any data you may collect and/or use that represent these communities?
6. Reciprocal vulnerability can be defined by researcher positionality and must be considered to address the power differential between the researcher and study population. Please demonstrate how you will accomplish this in the research project.

**Definitions**

**Respect:** The basic law of life that dictates how we interact with the world around us. Acknowledge the world view, cultural practices and norms, scared protocols, and lifeways of the AI/AN community.

**Reciprocity:** More than giving a gift, but an understanding of how to give of oneself. Ensuring there is an exchange of knowledge and contribution.

**Relationality:** We are all connected to each other, to the land, and to the universe.

**Respect, Reciprocity, and Relationality**

Indigenous research is from the community, for the community, includes the community, and is returned to the community upon completion. The research should be guided by Respect, Reciprocity, and Relationality. Discuss how your research fits into these guiding values and reflects the American Indian and Alaska Native population that you are researching. This response should be a minimum of 2 paragraphs.

**Potential Harms/Risk**

The (7) seven categories of potential harms/risk and potential benefits were originally applied to individual research participants with the regulatory guidance. Indigenous people, Tribes, and Tribal-based IRB’s and Urban Indian Programs apply them as well to the Tribe/community in research. They are as follows:

1. Physical
2. Psychological, self-stigmatization
3. Social, external stigmatization
4. Economic, loss of insurance or a job
5. Legal, criminal or civil liability
6. Dignitary, inadequate consent
7. Standard care, withholding

Please address each potential harm/ risk both to individuals and to Tribe/community in your research. Next, please clearly identify how the harm/risk will be reduced/mitigated for each individual and to the Tribe/community. Use the table below to organize your responses; please note they should be a minimum of 2-3 sentences response/cell:

|  |  |  |
| --- | --- | --- |
| Potential harm/risk | Risk mitigation strategies for the Individual | Risk mitigation strategies for the Tribe/community |
| 1. Physical |  |  |
| 1. Psychological, self-stigmatization |  |  |
| 1. Social, external stigmatization |  |  |
| 1. Economic, loss of insurance or a job |  |  |
| 1. Legal, criminal or civil liability |  |  |
| 1. Dignitary, inadequate consent |  |  |
| 1. Standard care, withholding |  |  |

**Informed Consent Process**

List and describe the steps you will take to ensure your consenting process is complete, understandable, focused, and respectful to all of the individual participants and to the Tribe/community. *"Dignitary" respectful consent is a potential benefit in all research, especially research with people and groups who are still too often disrespected.*

**Data & Privacy**

*Best Practices* when working with Sovereign Tribal Nations, and the Tribal communities they represent, include consultation with the Tribal Council regarding proposed research. Tribal Council Leadership would then decide when additional guidance from their traditional/cultural perspective would be useful toward the end of minimizing groups harm to Tribal Nations.

*Please note that harms/risks to AI/AN Tribes/communities and individuals often occurred in the report, presentation, or publication phase of research.*

1. Specifically, in working with the Tribal council and members, please outline and describe the steps that you will take to review and redact all data that the Tribal council and/or individuals do not want reported in any way, including, but not limited to student work (classroom work, dissertation, master’s etc). [Please note that this means you may need to work with your faculty advisor/chair/faculty member if Tribal council and/or members decide that they do not want you to use the data you collected].
2. Tribal council and members may want to have all data and not allow any further research or sharing of data after your study. Please outline and describe the steps that you will use to determine what will happen with the data collected from this study.

**Video and Audio Recording**

Video and audio recording should **always** be optional for any American Indian/Alaska Native. Please confirm, via a written response, that all materials recruitment/consent/and data instruments that recording will always be optional, and after the research team has had a conversation with the individual(s).

**Archived/Public Data**

If you are using archived or public data that represents Indigenous people, Tribes, Tribal members, Communities, etc., please clearly explain how what process you will use to gain input from the affected AI/AN communities?

For more information about harms/risks and ben fits in research with Native Tribes and people, see: "Research with American Indian and Alaska Native Individuals, Tribes, and Communities. " (In) Bankert EA, Gordon BG, Hurley EA, Shriver SP (Eds.). Institutional Review Board: Management and Function. 3RD Ed. 2021 (Chapter 9-10: 563-579).

Human Research Protections Program at University of Southern California American Indian & Alaska Native Populations. <https://oprs.usc.edu/irb/american-indian-alaskan-native-populations/>.